# SML – A Functional Language

Lecture 19

### Introduction to SML

- SML is a functional programming language and acronym for Standard Meta Language.
- SML has basic data objects as expressions, functions and list etc.
  - Function is the first class data object that may be passed as an argument, returned as a result and stored in a variable.
- SML is interactive in nature
  - Each data object is entered, analyzed, compiled and executed.
  - The value of the object is reported along with its type.
  - SML is strongly typed language.
  - Type can be determined automatically from its constituents by the interpreter for data object if not specified.
  - It is statically scoped language where the scope of a variable is determined at compile time that helps more efficient and modular program development.

### Interaction with SML

- Basic form of interaction is
  - read, evaluate and display.
- An expression denotes a value and is entered and terminated by semi colon (;).
  - It is analyzed, compiled and executed by SML interpreter and
  - the result is printed on the terminal along with its type.
- In SML, the basic types are
  - int (integers), real (real), char (character),
  - bool (boolean) and string (sequence of character).

### Conventions

- The following conventions are used to distinguish between user input and SML system's response.
- The SML editor prompts with " " for an expression to be entered by an user.
- It displays the output after the symbol "> ".

- The result starts with the reserved word val
- It indicates that the value has been computed and is assigned to system defined identifier named as it.
- Its type is implicitly derived by the system from expression's constituents.
- Each time a new expression is entered, the value of it gets changed.

```
- not false;
> val it = true : bool
- ~3.45; {~ is unary minus }
> val it - ~3.45 : real
- (25 + 5) mod 2; {mod gives remainder}
> val it = 0 : int
```

### Value Declaration

- Value can be given a name called variable.
- The value of a variable can not be updated and the life time of a variable is until it is redefined.
- The keyword val is used to define the value of a variable.
- The general form of a value declaration is:

It causes a variable var to be bound to the value of an expression exp.

```
- val x = 3 + 5 * 2;
> val x = 13 : int
- val y = x + 3;
> val y = 16 : int
- y + x;  without value declaration
> val it = 29 : int
```

## Bindings and Environments

- The name of a variable is formed by using alphanumeric characters [a z, A Z, numerals, underscore ( \_ ) and primes ( ')] and it must start with letter.
- The collection of bindings at any particular state is called an *environment* of that state.
- Execution of any declaration causes extension or change in the environment.
- The notation used for environment is not of SML program but our own notation to explain the meaning of SML programs.
- The execution of the value declaration
  - val x = 3 + 5 \* 2 creates the following environment env =  $\begin{bmatrix} x & \Rightarrow & 13 \\ \vdots & \vdots & \vdots \\ \end{bmatrix}$
- Each execution creates updated environment.

## Examples

```
- val x = 3 + 5 * 2;

> val x = 13 : int

env1 = [x \Rightarrow 13 : int]

- val y = x + 3;

> val y = 16 : int

env2 = [x \Rightarrow 13 : int, y \Rightarrow 16 : int]

- y + x;

> val it = 29 : int

env3 = [x \Rightarrow 13 : int, y \Rightarrow 16 : int, it \Rightarrow 29 : int]

- val x = \sim 1.23E \sim 8;

> val x = \sim 1.23E \cdot 8 : real

env4 = [y \Rightarrow 16 : int, it \Rightarrow 29 : int, x \Rightarrow -1.23*108 : real]
```

# Multiple Bindings

- Multiple variables can be bound simultaneously using key word and as a separator.
  - It is also called *simultaneous declaration*.
- A *val* declaration for simultaneous declaration is of the form

val v1 = e1 and v2 = e2 and ... and vn = en

- SML interpreter evaluates all the expressions e1, e2, .. en and then binds the variables v1, v2,..., vn to have the corresponding values.
- Since the evaluations of expressions are done independently, the order is immaterial.

## Examples: Contd...

Continue with the previous environment

```
env4 = [y \Rightarrow 16 : int, it \Rightarrow 29 : int, x \Rightarrow -1.23*108 : real ]

- val y = 3.5 and x = y;

> val y = 3.5 : real

> val x = 16 : int

env5 = [it \Rightarrow 29 : int, y \Rightarrow 3.5 : real, x \Rightarrow 16 : int]
```

- Note that x does not get the current value of y which is 3.5 but binds to the value 16 available from the previous environment env4
- In multiple value bindings, the values on right hand sides are evaluated first and then bound to the corresponding variable in the left hand sides.

```
- val y = y + 3.0 \text{ and } x = y;

> val y = 6.5 : real

> val x = 3.5 : real

env6 = [it /\Rightarrow 29 : int, y /\Rightarrow 6.5 : real, x /\Rightarrow 3.5 : real]
```

## Compound Declarations

- Two or more declarations can be combined and separated by semicolon.
- The general form of *compound declaration* is

```
D1; D2; ...; Dn
```

- SML first evaluates the first declaration D1, produces an environment, then evaluates the second declaration D2, updates the previous environment and proceeds further in the sequence.
- It must be noted that the subsequent declarations in sequential composition may override the identifiers declared in the left hand side declarations.

## Examples

Consider previous environment as

```
env6 = [it \Rightarrow 29 : int, y \Rightarrow 6.5 : real, x \Rightarrow 3.5 : real]

- val x = 34; val x = true and z = x; val z = x;

> val x = 34 : int

env7 = [it \Rightarrow 29 : int, y \Rightarrow 6.5 : real, x \Rightarrow 34 : int]

> val x = true : bool

> val z = 34 : int

env8 = [it \Rightarrow 29 : int, y \Rightarrow 6.5 : real, x \Rightarrow true: bool, z \Rightarrow 34 : int]

> val z = true : bool

env9 = [it \Rightarrow 29:int, y \Rightarrow 6.5 : real, x \Rightarrow true: bool, z \Rightarrow true: bool]
```

## Expressions and Precedence

- Expressions in SML are evaluated according to operator precedence.
  - The higher precedence means earlier evaluation.
  - Equal precedence operators are evaluated from left to right.
- Operators are of two kinds viz., infix operator and unary operator.
  - Infix operator is placed between two operands and is also called dyadic operator.
  - An unary operator is always written in front of an operand and has higher precedence than any infix operator.
  - It is also called monadic operator.
- In SML, infix minus is represented by whereas unary minus represented by ~.

# **Conditional Expressions**

The general form of conditional expression

#### if E then E1 else E2

- The type of expressions E1 and E2 should be the same whereas the type of E is bool.
- If E is true then E1 is the value of the conditional expression otherwise E2.
- The condition is formed using arithmetic, relational, boolean and string operators.
- Priority of operators is: arithmetic operators, relational operators followed by boolean / logical operators.

## **Arithmetic Operators**

Integers: +, -, \*, div, mod, abs, ~ (unary minus)

**Real:** +, -, \*, /, sqrt, floor, sin, cos etc.

- Arithmetic operators +, -, and \* are defined for both integers and reals & overloaded.
- The operators are overloaded if defined for more than one type of data types.
- SML can deduce the type in most of the expressions, functions from the type of the constituents used.

## Relational & Boolean operators

#### Integers & reals:

- < (less than),
- <= (less or equal to),
- > (greater than),
- >= (greater or equal to)

#### For all except reals

- = (equal to),
- <> (not equal to)

- Precedence is in decreasing order of not, andalso and orelse
  - 1. not (Logical negation),
  - 2. andalso (Logical AND),
  - 3. orelse (Logical OR)
- The boolean operators andalso and orelse are evaluated using lazy evaluation strategy which means that evaluate whenever it is required.

## Boolean Operators – Cont...

- andalso: true only when both operands are true.
- orelse: false only when both operands are false.

```
val x = true andalso false;
val x = false : bool
val y = x orelse true;
val y = true : bool
val z = not true;
val z = false : bool
```

```
    val p = x orelse not(y);
    val p = false : bool
    val n = 5;
    val n = 5 : int
    val t = if n+3 > 0 orelse p then 9 else 6;
    val t = 9 : int
    val t = if p orelse not false then n else 3;
    val t = 5 : int
```

### **Function Declaration**

- Functions are also values in SML and are defined in the same way as in mathematics.
- A function declaration is a form of value declaration and so SML prints as the value and its type.
- The general form of function definition is:

```
fun fun_name (argument_list) = expression
```

- The keyword "fun" indicates that function is defined.
- fun\_name is user defined variable and argument\_list consists of arguments separated by comma.

### Function – Cont...

Let us write a function for calculating circumference of a circle with radius r.

```
    val pi = 3.1414;
    pi = 3.1414 : real
    fun circum (r) = 2.0 * pi * r;
    val circum = fn : real → real
    circum (3.0);
    val it = 18.8484 : real
```

- Here "circum" is a function name.

- SML can infer the type of an argument from an expression (2.0 \* pi \* r).
- Variables appearing in the argument list are said to be **bound** variables.
  - In the function "circum", pi is a free identifier whereas r is bound.
- If there is one argument of a function, then circular brackets can be removed.
- The same function can be written as:
  - fun circum r = 2.0 \* pi \* r;
  - > val circum = fn : real  $\rightarrow$  real
  - circum 1.5;
  - > val it 9.4242 : real

## Static Binding of Function

```
    val pi = 1.0;
    val pi = 1.0 : real
    circum 1.5;
    val it = 9.4242 : real
```

- Note that the value of circum 1.5 is still 9.4242 even though pi is bound to new value to 1.0.
- SML uses the environment valid at the time of declaration of function rather than the one available at the time of function application.
- This is called the static binding of free variables in the function.

### Polymorphic Function Declarations

- Sometimes type of the function is not deducible seeing the arguments or the body of the function.
- These arguments can be of any type and called polytype.
- The actual type would be decided at the time of applying function.
- Function using polytype is called polymorphic function.

```
fun pair_self x = (x, x);

val pair_self = fn: 'a \rightarrow 'a * 'a
```

Here 'a denotes polytype.

## Examples

```
val p = pair_self 25;
> val p = (25, 25) : int * int
- val p2 = pair_self true;
> val p2 = (true, true): bool*bool

    fun first_of_pair (x, y) = x;
    val first_of_pair = fn: 'a * 'b → 'a

- val f = first\_of\_pair (23, 4.5);
> val f = 23: int

    fun second_of_pair (x, y) = y;
    val second_of_pair = fn: 'a * 'b → 'b

   val f = second_of_pair (23, true);
> val f = true: bool
```

#### **Patterns**

- A **pattern** is an expression consisting of variables, constructors and wildcards.
- The **constructors** comprise of **constants** (integer, character, bool and string), **tuples**, record formation, datatype constructors (explained later) etc.
- The simplest form of pattern matching is

pattern = exp, where exp is an expression.

■ When **pattern** = **exp** is evaluated, it gives true or false value depending upon whether pattern matches with an expression or not.

```
- true = (2 < 3);
> val it = true : bool
- 23 = 10 + 14;
> val it = false : bool
```

```
"abcdefg" = "abc" ^ "defg";
        val it = true : bool
        #"a" = # "c";
        val it = false : bool
        (23, true) = (10+13, 2 < 3);
        val it = true : bool
        val v = 3;
val v = 3;val v = 3 : int
- v = 2 + 1;
> val it = tr
   val it = true : bool
```

- In SML, the pattern matching occurs in several contexts.
- Pattern in value declaration has the form

$$val pat = exp$$

- If pattern is a simple variable, then it is same as value declaration.
- If patterns are Pairs, tuples, record structure, then they may be decomposed into their constituent parts using pattern matching.
- The result of matching changes the environment.
- Reduction to atomic value bindings is achieved where an atomic bindings is one whose pattern is a variable pattern.
- The binding val(pat1, pat2) = (val1, val2) reduces to
  - > val pat1 = val1
  - > val pat2 = val2

This decomposition is repeated until all bindings are atomic.

```
val ((p1, p2), (p3, p4, p5)) = ((1,2), (3.4, "testing",true));
val p1 = 1 : int
val p2 = 2 : int
val p3 = 3.4 : real
val p4 = "testing" : string
val p5 = true : bool
val (p1, p2, _ , p4) -(12, 3.4, true, 67);

val p1 = 12 : int
val p2 = 3.4 : real
val p4 - 67 : int
```

The wildcard pattern can match to any data object. Represented by underscore (\_) and has no name thus returns an empty environment.

### Alternative Pattern

Functions can be defined using alternative patterns as follows:

```
fun pat1 = exp1 / pat2 = exp2 / ... / patn = expn;
```

- Each pattern patk consists of same function name followed by arguments.
- The patterns are matched from top to bottom until the match is found.
- The corresponding expression is evaluated and the value is returned.

```
    fun fact 1 = 1
        | fact n = n * fact (n-1);
    val fact = fn : int -> int
```

## Function using Alternative

Functions can be defined using alternative as follows:

- Each definition is matched from top to bottom until the match is found.
- The corresponding expression is evaluated and the value is returned.

## Examples

```
fun
       fact 1 = 1
        | fact n = n * fact (n-1);
val fact = fn : int -> int
fact 3;
val it = 6 : int
fun negation true = false
        | negation false = true;
val negation = fn : bool -> bool
negation (2 > 3);
val it = true : bool
```

## Case Expression

- Conditional expression takes care of only two cases whereas if we want to express more than two cases, then the nested if-then-else expression is used.
- Alternatively we can handle such situations using case expression.
- The general form of case expression is:

```
case exp of pat1 => exp1

|pat2 => exp2

|patn => expn
```

### Pattern – Cont...

- The value of **exp** is matched successively against the patterns **pat1**, **pat2**, ..., **patn**.
- If patj is the first pattern matched, then the corresponding expj is the value of the entire case expression.
- For example the nested nested if-then-else expression

if x = 0 then "zero" else if x = 1 then "one" else if x = 2 then "two" else "none"

is equivalent to the following case expression

```
case x of 0 => "zero"
| 1 => "one"
| 2 => "two"
| --> "none"
```

### Lists in SML

- List is an ordered sequence of data objects, all of which are of the same type.
- In SML, the list consists of finite sequence of values of type 'a and the entire list is of type 'a *list*.
- The elements of list are enclosed in square brackets and are separated by comma.
- The empty list denoted by [ ] that contains no elements.
- The order of elements is significant.

### List – Cont...

- List can contain varying number of elements of the same type whereas in tuples and records the number of elements are fixed and are of any type.
- The first element of the list is at 0 position.
- Typical lists are:

```
    val x = []; ← empty list
    val x = []: 'a list
    val r = [2.3, 4.5 / 1.2, 8.9 + 2.3]; ← list of real
    val r = [2.3,3.75,11.2]: real list
    val y = [[1,2], [3,4,5,6], []]; ← list of list of int type
    val y = [[1,2],[3,4,5,6], []]: int list list
    val p = [floor, round, trunc]; ← list of functions
    val p = [fn,fn,fn]: (real -> int) list
```

### Construction of a List

- A list is constructed by two primitives: one a constant nil (empty list denoted by []) and other an infix operator cons represented by ::
- A list is represented as head :: tail, where head is a first element of the list and tail is the remaining list.
- The operator cons builds a tree for a list from its head to tail.
- For example, a list [2] can be represented as 2 :: nil
- The tree representation for a list head :: tail is as follows:

tail

head

A list can be constructed by adding an element in the beginning using cons operator.

```
- 4::nil;

> val it = [4] : int list

- val p = 3 :: [4];

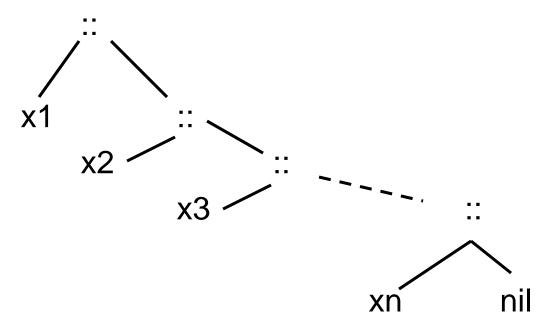
> val p = [3,4] : int list

- val q = 2 :: p;

> val q = [2,3,4] : int list
```

The list [x1, x2, x3, ..., xn] can also be written as x1 :: :: xn :: nil.

It's tree representation is



Two lists can be compared for equality or inequality.

### Standard List Functions

- There are only few standard functions for handling lists in SML
- List constructor operator denoted by ::

```
- 2 :: [3,4,5];

> val it = [2,3,4,5] : int list

- true :: [2>3];

> val it = [true, false] : bool list
```

The Append operator denoted by @

```
- [1,2,3] @ [4,5];
> val it = [1,2,3,4,5]: int list
```

### List Functions

The reversing function rev for reversing the elements of a list:

```
rev [1,2,3];
val it = [3,2,1] : int list
rev [[1,2], [3,4], [5]];
val it = [[5],[3,4], [1,2]] : int list list
rev [(10,"abc"), (20, "bcd")];
val it = [(20,"bcd"),(10,"abc")] : (int * string) list
```

#### List Functions – Cont...

Finding Head and Tail of a list by using hd (for head) & tl (for tail) functions:

```
- hd [(1,"ab"), (2, "bc")];

> val it = (1,"ab") : int * string

- tl [(1,"ab"), (2, "bc")];

> val it = [(2,"bc")] : (int * string) list
```

Finding the length of a list length:

```
- length [1,2,3,6];
> val it = 4 : int
- length [(1,"ab"), (2, "bc")];
> val it = 2 : int
```

#### Various other list functions

Adding elements of the list

```
- fun add [] = 0
| add (x::xs) = x + add xs;
> val add = fn : int list -> int
- add [2,3,4,7,8];
> val it = 24 : int
```

Multiplying elements of the list

```
- fun mult [] = 0

| mult [x] = x

| mult (x::xs) = x * mult xs;

> val multl = fn : int list -> int
```

Selecting a particular position value

Finding the maximum value of the elements in a list

```
- fun max [] = 0

| max [x] = x

| max(x::y::xs) = if x > y then

| max(x::xs) else max(y::xs);

> val max = fn : int list -> int

| max [3,9,1,3,56,7];

> val it = 56 : int
```